

New business forms chart

New updates are listed in red.

North American Company for Life and Health Insurance® forms information

Annuity allocation forms:

- **Income Pay ProSM allocation form (36414Z):** Required for all Income Pay Pro sales.
- **North American Charter[®] Plus series allocation form (36413Z):** Required for all North American Charter Plus sales.
- **NAC BenefitSolutions[®] 10 allocation form (36412Z):** Required for all NAC BenefitSolutions sales.
- **NAC Control. XSM allocation form (35069Z):** Required for all NAC Control. X sales.
- **NAC VersaChoiceSM 10 allocation form (36416Z):** Required for all NAC VersaChoice 10 sales.
- **Performance Choice[®] 8 allocation form (36415Z):** Required for all Performance Choice 8 sales.

Annuity suitability forms:

- **Consumer profile form^{1,2} (32906Z):** Required for all annuity sales.
- **Comparison of annuity contracts form² (32907Z):** Required for all annuity to annuity replacement transactions in all states except Arkansas, Florida, and Nevada.
- **Producer disclosure form² (32931):** Required for all annuity sales in the states of AK, AL, AR, AZ, CO, CT, DE, GA, HI, IA, ID, IL, KY, MA, MD, ME, MI, MN, MS, MT, NC, ND, NE, NM, OH, OK, PA, RI, SC, SD, TX, VA, WI, WV, and WY. We will accept either our company version or other created versions of the form.

- **Producer best interest attestation form² (32921Z):** Required for all annuity sales in the states of AK, AL, AR, AZ, CO, CT, DE, GA, HI, IA, ID, IL, KY, MA, MD, ME, MI, MN, MS, MT, NC, ND, NE, NM, OH, OK, PA, RI, SC, SD, TX, VA, WI, WV, and WY.

Other/misc. forms:

- **Fidelity Multifactor Yield IndexSM 5% ER (Fidelity MFY 5% ER), Morgan Stanley Dynamic Global Index (MSDG), S&P Multi-Asset Risk Control 5% Excess Return Index (S&P MARC 5% ER), S&P 500[®] Low Volatility Daily Risk Control 5% (SPLV 5% TR) (26091Z-3):** Required for all Income Pay Pro, NAC VersaChoice 10, Performance Choice 8, NAC BenefitSolutions 10, and North American Charter Plus sales.
- **Barclays Transitions 6 VC IndexTM, Barclays Transitions 12 VC IndexTM (31773Z-X):** Required for all NAC Control. X sales.

1. Consumer profile form NOT required if fund source is flex/salary reduction ONLY.

2. Form is not required if Broker Dealer delegated review unless fund source is internal replacement in which case the Consumer profile form and applicable Comparison of annuity contracts form or state Disclosure comparison form must be submitted.

State-specific information

Alabama:

- Alabama arbitration agreement (12538Z-01): Required for all annuity sales.

Arkansas:

- AR replacement disclosure comparison statement (12338-AR): Required for all replacements.

California:

- CA elder disclosure (7572Z): Required if owner or annuitant's age is 65 or older.
- CA home visit form (9299Z): Required to be sent to clients age 65 and older prior to first home visit.
- Medi-cal eligibility written disclosure (35575Z): Required by any agent who offers or sells any financial product on the basis of its treatment under the Medi-Cal program.

Florida:

- Disclosure and comparison form (25134Z-1): Required for all annuity to annuity replacement transactions only.
- FL suitability questionnaire (21240): Required for all clients.
- FL accredited investor form (14558Z-1): Required for clients age 65 and older for products longer than 10 years and/or surrender charges higher than 10%.
- Replacement form (6153-A): Required upon client request.
- Replacement forms (6779-FL and 6153): Required for internal replacements.

Kansas:

- Replacement form (6779-KS-A): Required for internal replacements.
- Single premium disclosure form (6778-KS): Required for Income Pay Pro, NAC BenefitSolutions, NAC Control. X, NAC Guarantee Plus, and NAC VersaChoice.

Nevada:

- NV replacement disclosure comparison statement (12338-NV) – Required for all annuity to annuity replacements.

Ohio:

- Single premium disclosure form (6778-OH): Required for Income Pay Pro, NAC BenefitSolutions, NAC Guarantee Plus, NAC Control. X and NAC VersaChoice 10.

For more information on product availability in your state, refer to the Availability chart or call Sales Support at **866-322-7066**.

New updates are listed in red.

North American fixed index annuities			
Income Pay ProSM		NAC BenefitSolutions[®] 10	
Standard disclosure form	35046Z-1	Standard disclosure form	35104Z-2
NAC VersaChoiceSM 10		Performance Choice[®] 8	
Standard disclosure form	32540Z-3	Standard disclosure form	32715Z-3
North American Charter[®] Plus 10		NAC Control. XSM	
Standard disclosure form	32541Z-3	Standard disclosure form	36055Z-1
North American Charter[®] Plus 14			
Standard disclosure form	34926Z-1		

Multi-year guarantee annuity	
NAC Guarantee Plus[®]	
Standard disclosure form	33771Z
CA, FL	33771Z-B-1

Single premium immediate annuity (SPIA)	
North American Income	
Disclosures not applicable.	

State replacement notices and applications			
State	Replacement notice	Application	SPIA only application
AK	6779*	ICC15-22652Z	ICC18-28046Z
AL	6779*	ICC15-22652Z	28046Z
AR	6779*	ICC15-22652Z	ICC18-28046Z
AZ	6779*	ICC15-22652Z	ICC18-28046Z
CA	6779-CA	22652Z-04	28046Z-04
CO	6779* ^	ICC15-22652Z	ICC18-28046Z
CT	6779*	ICC15-22652Z	ICC18-28046Z
DC	6779	ICC15-22652Z	28046Z
DE	6779-DE	ICC15-22652Z	28046Z
FL	6779-FL	22652Z-09	28046Z-09
GA	6779	ICC15-22652Z	ICC18-28046Z
HI	6779*	ICC15-22652Z	ICC18-28046Z
IA	6779*	ICC15-22652Z	ICC18-28046Z
ID	6779-ID	ICC15-22652Z	ICC18-28046Z
IL	6779-IL A&B	ICC15-22652Z	ICC18-28046Z
IN	6779-IN	ICC15-22652Z	ICC18-28046Z
KS	6779-KS-B	ICC15-22652Z	ICC18-28046Z
KY	6779*	ICC15-22652Z	ICC18-28046Z
LA	6779*	ICC15-22652Z	ICC18-28046Z
MA	6779-MA	ICC15-22652Z	ICC18-28046Z
MD	6779*	ICC15-22652Z	ICC18-28046Z
ME	6779*	ICC15-22652Z	ICC18-28046Z
MI	6779	ICC15-22652Z	ICC18-28046Z
MN	6779-MN	ICC15-22652Z	ICC18-28046Z
MO	6779-NAIC*	ICC15-22652Z	ICC18-28046Z
MS	6779*	ICC15-22652Z	ICC18-28046Z
MT	6779*	ICC15-22652Z	28046Z
NC	6779*	ICC15-22652Z	ICC18-28046Z
ND	6779	22652Z	28046Z
NE	6779*	ICC15-22652Z	ICC18-28046Z
NH	6779*	ICC15-22652Z	ICC18-28046Z
NJ	6779*	ICC15-22652Z	ICC18-28046Z
NM	6779*	ICC15-22652Z	ICC18-28046Z
NV	6779-NV	ICC15-22652Z	ICC18-28046Z
OH	6779*	ICC15-22652Z	ICC18-28046Z
OK	6779-OK	ICC15-22652Z	ICC18-28046Z
OR	6779*	ICC15-22652Z	ICC18-28046Z
PA	6779-PA	ICC15-22652Z	ICC18-28046Z
RI	6779	ICC15-22652Z	ICC18-28046Z
SC	6779*	ICC15-22652Z	ICC18-28046Z
SD	6779*	22652Z	28046Z
TN	6779-TN	ICC15-22652Z	ICC18-28046Z
TX	6779*	ICC15-22652Z	ICC18-28046Z
UT	6779*	ICC15-22652Z	ICC18-28046Z
VA	6779-NAIC*	ICC15-22652Z	ICC18-28046Z
VT	6779*	ICC15-22652Z	ICC18-28046Z
WA	6779-WA*	ICC15-22652Z	ICC18-28046Z
WI	6779*	ICC15-22652Z	ICC18-28046Z
WV	6779*	ICC15-22652Z	ICC18-28046Z
WY	6779-WY	ICC15-22652Z	ICC18-28046Z



For more information on product availability in your state, refer to the Availability chart or call Sales Support at **866-322-7066**.

Not FDIC/NCUA Insured	Not A Deposit Of A Bank	Not Bank Guaranteed
May Lose Value	Not Insured By Any Federal Government Agency	

* A replacement notice is required if the applicant owns an annuity or life insurance policy.

^ For Colorado: If a resident purchases a life insurance or annuity contract outside the state of Colorado involving a replacement, the issuing company must receive the Colorado replacement form along with the replacement form required for the state in which the application is signed. If that replacement form is the same version that Colorado uses, only one replacement form is required.

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