



AIG Annuities

# Compliance Bulletin



Effective November 8, 2021

## Client Profile Form update

We have enhanced the Client Profile Form to improve efficiency in obtaining information and reduce the number of Not In Good Order (NIGO) items. The updated Client Profile Form is scheduled to launch on Monday, November 8, 2021, on all form repositories.

### Information pertaining to annuity business submissions

#### Applicable annuities

The enhanced Client Profile Form is used with the following types of annuity products:

- Index annuities
- Fixed annuities
- Income annuities

#### Applicable firms

Any firm where AIG is currently responsible for the suitability supervision process.

#### Transition period

Starting Monday, February 14, 2022, the updated Client Profile Form will only be accepted with all New Business submissions.

## We can review cases on a pre-sale basis!

To submit a case for preliminary review, complete and send us the Client Profile Form. We do not require a signed document for a preliminary review. We're happy to assist with suitability questions or pre-sale reviews by contacting the Suitability Review Team at [SuitabilityReview@aig.com](mailto:SuitabilityReview@aig.com).

## Questions and contact information

If you need help obtaining the form or have questions about the AIG process, please contact the AIG Sales Desk at (888) 438 - 6933, Option 2, or your agency's back office. Please note, the [AIG Suitability Guidelines](#) are available for your reference.

Annuities are issued by **American General Life Insurance Company (AGL)**, Houston, TX, except in New York, where they are issued by **The United States Life Insurance Company in the City of New York (US Life)**. Products may not be available in all states and may vary by state. AGL and US Life are members of American International Group, Inc. (AIG). All contract and optional benefit guarantees are backed by the claims-paying ability of the issuing insurance company and are not the obligation or responsibility of AIG. AGL and US Life are not authorized to give legal, tax or accounting advice. Please consult your attorney, accountant, or tax advisor on specific points of interest.

©2021 American International Group, Inc. All rights reserved. [aig.com/annuities](http://aig.com/annuities)

**Not FDIC or NCUA/NCUSIF Insured**

May Lose Value • No Bank or Credit Union Guarantee • Not a Deposit • Not Insured by Any Federal Government Agency